

COPY OF PAPERS  
ORIGINALLY FILED

MP

Practitioner's Docket No.: 283-309.11

JUL 15 2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Robert J. Hennick, et al.

Serial No.: 10/092,789

Art Unit: 2876

Filed: March 7, 2002

Examiner: Not Assigned

For: OPTICAL READER IMAGING MODULE

**Box Missing Parts**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail addressed to **Box Missing Parts, Assistant Commissioner for Patents, Washington D.C. 20231** on July 8, 2002.

*Tammy S. Seneca*  
Tammy S. Seneca

**COMPLETION OF FILING REQUIREMENTS  
--- NONPROVISIONAL APPLICATION**

*(check and complete this item, if applicable)*

- I.  This replies to the Notice to File Missing Parts of Nonprovisional Application mailed May 8, 2002.
- A copy of the Notice to File Missing Parts of Nonprovisional Application—Filing Date Granted is enclosed.

**DECLARATION OR OATH**

- II.  No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

**OTHER PAPERS ENCLOSED**

- III.  Assignment with Assignment Transmittal  
 40 Sheets of Formal Drawings (Figs. 1a-11)

**COMPLETION FEES**

- IV. **WARNING:** Failure to submit the surcharge fees where required will cause the application to become abandoned. 37 CFR 1.53

**NOTE:** For effect on fees of failure to establish status, or change status, as a small entity, see 37 CFR 1.28(a).

- I. Filing Fee  
 Original Patent Application \$\_\_\_\_\_.00  
37 CFR 1.19a - \$690.00; Small Entity - \$345.00

2. Fees for Claims ( Claims; Indep.)
- each independent claim in excess of 3  
(37 C.F.R. 1.16(b)-(378.00; small entity--\$39.00) \$\_\_\_\_\_.00
- each claim in excess of 20  
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00) \$\_\_\_\_\_.00
- multiple dependent claim(s)  
(37 C.F.R. 1.16(d)--\$260.00; small entity--\$130.00) \$\_\_\_\_\_.00
3. Surcharge fees
- late payment of filing fee  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00) \$\_\_\_\_\_.00
- and/or
- late filing of original declaration or oath  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00) \$130.00
- NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).
4.  Petition and fee for filing by other than all the inventors or a person not the inventor  
(37 C.F.R. 1.17(i) and 1.47--\$130.00) \$\_\_\_\_\_.00
5.  Fee for processing an application filed with a specification in a non-English language  
(37 C.F.R. 1.17(k) and 1.52(d)--\$130.00) \$\_\_\_\_\_.00
6.  Assignment Fee \$\_\_\_\_\_.40.00

**Total Completion Fees** \$170.00

**EXTENSION OF TIME**

V. *(complete (a) or (b), as applicable)*

The proceedings herein are for a patent application, and the provisions of 37 C.F.R. 1.136(a) apply.

- (a)  Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. 1.17(a)(1)-(4), for the total number of months checked below:

Extension (Months)	Fee for other than small entity	Fee for small entity
One Month	\$ 110.00	\$ 55.00
Two Months	\$ 400.00	\$ 200.00
Three Months	\$ 920.00	\$ 460.00

Extension Fee \$00.00

If an additional extension of time is required, please consider this a petition therefor.

*(check and complete the next item, if applicable)*

- An extension for \_\_\_\_ months has already been secured, and the fee paid

therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 00.00

**OR**

- (b)  Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

**TOTAL FEE DUE**

**VI.**

The total fee due is:	Completion fee(s)	\$170.00
	Extension fee (if any)	\$ <u>  </u> .00
	<b>Total Fee Due</b>	<b>\$170.00</b>

**PAYMENT OF FEES**

- VII.  Enclosed is a check in the amount of \$170.00.  
 Charge Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_. A duplicate of this request is attached.

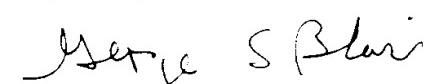
**AUTHORIZATION TO CHARGE ADDITIONAL FEES OR CREDIT OVERPAYMENT**

- VIII.  The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0289.  
 Any additional filing fees required under 37 CFR 1.16.  
 Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

July 8, 2002

Date

  
George S. Blasiak  
Reg. No. 37,283

GSB:ts  
WALL MARJAMA & BILINSKI LLP

Customer No.: 

20874

PATENT TRADEMARK OFFICE

Telephone: (315) 425-9000  
Facsimile: (315) 425-9114



COPY OF PART  
ORIGINALLY FILED

Page 1 of 2

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/092,789	03/07/2002	Robert J. Hennick	283-309.11

CONFIRMATION NO. 2965

FORMALITIES LETTER



\*OC00000008059655\*

George S. Blasiak  
Wall Marjama & Bilinski LLP  
101 South Salina Street - Suite 400  
Syracuse, NY 13202

Date Mailed: 05/08/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

07/18/2002 BARBARA L. COOK 00000071 10036283

01 FC:105

100.00 CP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.
- 

*A copy of this notice MUST be returned with the reply.*

*Phuong Bui*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE